

RECEIVED

OCT 3 1 2001

TECH CENTER 1600/2900

BOX-580

BOX SEQUENCE

PATENT 2173-0120P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

ENDO, Keiji et al.

Conf.:

2206

Appl. No.:

09/590,375

Group:

1652

Filed:

June 9, 2000

Examiner: SLOBODYANSKY, E

For:

MUTANT ALPHA-AMYLASES

LARGE ENTITY TRANSMITTAL FORM

Assistant Commissioner for Patents Washington, DC 20231

October 29, 2001 (Monday)

Sir:

Transmitted herewith is an amendment in the above-identified application.

Applicant claims small entity status under 37 C.F.R. § 1.27.

The enclosed document is being transmitted via the Certificate of Mailing provisions of 37 C.F.R. § 1.8.

The enclosed document is being transmitted via facsimile.

The fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL	11	_	20	=	0	\$ 18	\$0.00
INDEPENDENT	2	_	3	=	0	\$ 80	\$0.00
FIRST PRESENTATION OF A MULTIPLE CLAIM					\$ 270	\$0.00	
<u> </u>			- 101			TOTAL	\$0.00

Docket No. 2173-0120P

	Petition for () month(s) extension of time pursuant to 37 C.F.R. §§ 1.17 and 1.136(a). $$0.00$ for the extension of time.
\boxtimes	No fee is required.
	A check in the amount of \$0.00 is enclosed.
	Please charge Deposit Account No. 02-2448 in the amount of \$0.00. This form is submitted in triplicate.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §§1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By My 36,623 John W. Bailey, #32,881

P.O. Box 747

JWB/BCF

2173-0120P

Falls Church, VA 22040-0747

(703) 205-8000

Attachment

(Rev. 01/22/01)





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.

RECEIVED

OCT 3 1 2001 TECH CENTER 1600/2900

EXA	MINER 2
ART UNIT	PAPER NUMBER
1652	7
DATE MAILED:	

Please find below a communication from the EXAMINER in charge of this application

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

Any inquiry concerning this communication should be directed to Examiner Elizabeth Slobodyansky, Art Unit 1652, whose telephone number is (703) 306-3222.

Any inquiry of a general nature or relating to the status of this application should be directed to the Technology Center receptionist whose telephone number is (703) 308-0196.

APPLICANT IS GIVEN A ONE MONTH EXTENDABLE PERIOD WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Elizabeth Slobodyanský, PhD

Primary Examiner Art Unit 1652

Application No.: 09/5 90,375

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

	1.	. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-attention is directed to these regulations, published at 1114 OG 29, May 15, 199 18230, May 1, 1990.	1.825. Applicant's 0 and at 55 FR
	2.	 This application does not contain, as a separate part of the disclosure on paper Listing" as required by 37 C.F.R. 1.821(c). 	copy, a "Sequence
X	3.	 A copy of the "Sequence Listing" in computer readable form has not been submit 37 C.F.R. 1.821(e). 	itted as required by
	4.	4. A copy of the "Sequence Listing" in computer readable form has been submitted content of the computer readable form does not comply with the requirements of and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence".	[3/ C.F.R. 1.022
	5	The computer readable form that has been filed with this application has been for and/or unreadable as indicated on the attached CRF Diskette Problem Report. computer readable form must be submitted as required by 37 C.F.R. 1.825(d).	ound to be damaged A Substitute
	6	3. The paper copy of the "Sequence Listing" is not the same as the computer read	able from of the
ш		"Sequence Listing" as required by 37 C.F.R. 1.821(e).	RECEIVED
П	7	7. Other:	
لــا			OCT 3 1 2001
Αp	pl	olicant Must Provide:	ECH CENTER 1600/2900
X		An <u>initial</u> or substitute computer readable form (CRF) copy of the "Sequence Listir	ng".
		An initial or substitute paper copy of the "Sequence Listing", as well as an amendrinto the specification.	ment directing its entry
X	a	A statement that the content of the paper and computer readable copies are the sapplicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.825(b) or 1.825(d).	ame and, where r 1.821(g) or
Fo	r	questions regarding compliance to these requirements, please con	tact:
		Rules Interpretation, call (703) 308-4216	
Fo	r	CRF Submission Help, call (703) 308-4212	
Pa	ate	entIn Software Program Support (SIRA)	
		To Purchase Patentin Software703-287-0200	

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE